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Dept.: Chemical

By: NFO/VKS/dmf

OSMM&N File No. 202883US0X PCT

Serial No. 09/744,978

In the matter of the Application of: Laura ZANIBELLI, et al.

For: PROCESS AND CATALYSTS FOR UPGRADING OF HYDROCARBONS
BOILING IN THE NAPHTHA RANGE

The following has been received in the U.S. Patent Office on the date stamped hereon:

- | | | |
|---|------------------------------|---------------------------------|
| <input type="checkbox"/> pp. Specification | Claims/Drawings | Sheets |
| and | Pages Application Data Sheet | |
| <input type="checkbox"/> Combined Declaration, Petition & Power of Attorney | | pages |
| <input type="checkbox"/> List of Inventor Names and Addresses | | |
| <input type="checkbox"/> Utility Patent Application Transmittal | | |
| <input type="checkbox"/> Notice of Priority | | |
| <input type="checkbox"/> Check for | | |
| <input type="checkbox"/> Fee Transmittal Form | | |
| <input type="checkbox"/> Assignment/PTO 1595 pages: | | |
| <input type="checkbox"/> Letter to Official Draftsman | | |
| <input type="checkbox"/> Letter Requesting Approval of Drawing Changes | | |
| <input type="checkbox"/> Drawings | sheets | <input type="checkbox"/> Formal |
| <input type="checkbox"/> Letter | | |
| <input type="checkbox"/> Amendment | | |
| <input type="checkbox"/> Information Disclosure Statement | | |
| <input type="checkbox"/> Cited References (8) | | |
| <input type="checkbox"/> Search Report | | |
| <input type="checkbox"/> Statement of Relevancy | | |
| <input type="checkbox"/> IDS/Related/List of Related Cases | | |
| <input type="checkbox"/> Restriction Response | | |
| <input type="checkbox"/> Rule 132 Declaration | | |
| <input type="checkbox"/> Petition for Extension of Time | | |
| <input type="checkbox"/> Notice of Appeal | | |
| <input type="checkbox"/> Brief | | |
| <input type="checkbox"/> Issue Fee Transmittal | | |
| <input type="checkbox"/> White Advance Serial Number Card | | |
| <input type="checkbox"/> Small Entity Status is claimed | | |
- ☐ CPA
☐ Priority Doc
☐ Dep. Acct. Order Form
- ☐ PTO-1449

☐ Cited Pending Applications

☐ Election Response



Docket No. 202883US0X PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Laura ZANIBELLI, et al.

SERIAL NO: 09/744,978

GAU:

FILED: April 6, 2001

EXAMINER:

FOR: PROCESS AND CATALYSTS FOR UPGRADING OF HYDROCARBONS BOILING IN THE NAPHTHA RANGE



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97
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ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

NOV 25 2003

TC 1700

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
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Docket No. 202883US0XPCT/hc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Laura ZANIBELLI, et al.

SERIAL NO: 09/744,978

GAU: 1754

FILED: April 6, 2001

EXAMINER:

FOR: PROCESS AND CATALYSTS FOR UPGRADING OF HYDROCARBONS BOILING IN THE NAPHTHA RANGE



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

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REFERENCES

- ☐ The applicant(s) wish to make of record the references cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references have been received by the U.S. PCT Receiving Office from the International Bureau as acknowledged in the Notification of Acceptance.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Registration No. 24,618



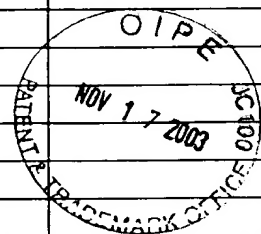
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 202883US0X PCT		SERIAL NO. 09/744,978	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Laura ZANIBELLI, et al.			
				FILING DATE April 6, 2001		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3,156,640	11/10/64	M. Hart			
	AB	4,628,717	12/16/86	Blum			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	EP0908231	04/14/99	Europe	x		
	AP	GB878035	12/15/64	Great Britain	x		
	AQ	GB2051120	06/15/82	Great Britain	x		
	AR	EP0543157	05/26/93	Europe	x		
	AS	EP0550922	07/14/93	Europe	x		
	AT	JP61126196	06/13/86	Japan (English Abstract)			x
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 202883US0XPCT		SERIAL NO. 09/744,978	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Laura ZANIBELLI, et al.			
				FILING DATE April 6, 2001		GROUP 1754	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,378,352	01/03/95	T. F. DEGNAN, et al.			
	AB	5,576,256	11/19/96	R. MONQUE, et al.			
	AC	4,629,717	12/16/86	T-H. CHAO			
	AD	5,482,617	01/09/96	N. A. COLLINS, et al.			
	AE	4,808,560	02/28/89	S. M. OLECK			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	0 448 117	09/25/91	EUROPE			
	AP	0 449 144	10/02/91	EUROPE			
	AQ	0 665 280	08/02/95	EUROPE			
	AR	0 442 159	08/21/91	EUROPE			
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	Derwent Publications, AN 86-193476, JP 61 126196, June 13, 1986					
	AX						
	AY						
	AZ						
Examiner					Date Considered		
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